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Docket No.: 026473.104-US01  
(PATENT)

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

In re Patent Application of:  
Rong C. Fang, et al.

Application No.: 09/935,780-9034

Group Art Unit: 2661

Filed: August 24, 2001

Examiner: Not Yet Assigned

For: UNIFIED DATA PACKET FOR  
ENCAPSULATING DATA PACKETS  
HAVING DIVERSE FORMATS

**TRANSMITTAL LETTER**

~~Box Missing Parts~~

Commissioner for Patents  
Washington, DC 20231

Dear Sir:

Enclosed are the following items for filing in connection with the above-referenced Patent Application:

1. Fee Transmittal;
2. Response to File Missing Parts of Application (in duplicate);
3. Copy of the Notice to File Missing Parts of Nonprovisional Application;
4. Application Data Sheet;
5. Declaration;
6. Power of Attorney;

7. Statement under 37 CFR 3.73(b) with a copy of the Assignment;
8. Verified Statement (Declaration) Claiming Small Entity Status (37 CFR §§ 1.9(d) and 1.27(c))--Small Business Concern;
9. Check No. 288125 for \$813.00 to cover:  
\$370 application filing fee;  
\$378 additional claims fee; and  
\$65.00 surcharge for late filing of the Declaration; and
10. Return receipt postcard.

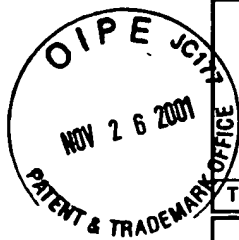
The Commissioner is hereby authorized to charge any deficiency in the fees filed, asserted to be filed or which should have been filed herewith (or with any paper hereafter filed in this application by this firm) to our Deposit Account No. 50-0740, under Docket No. 026473.104-US01. A duplicate copy of this paper is enclosed.

Dated: Monday, November 26, 2001  
(November 25, 2001 = Sunday)

Respectfully submitted,

By   
Paul J. Berman

Registration No.: 36,744  
COVINGTON & BURLING  
1201 Pennsylvania Avenue, N.W.  
Washington, DC 20004-2401  
(202) 662-6000  
Attorneys for Applicant



Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

PTO/SB/17 (10-01)  
Approved for use through 10/31/2002. OMB 0651-0032  
U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

FEE TRANSMITTAL for FY 2002		Complete if Known	
Patent fees are subject to annual revision.		Application Number	09/935,780-9034
		Filing Date	August 24, 2001
		First Named Inventor	Rong C. Fang
		Examiner Name	Not Yet Assigned
		Group Art Unit	2661
		Attorney Docket No.	026473.104-US01
TOTAL AMOUNT OF PAYMENT (\$)		813.00	
METHOD OF PAYMENT		FEE CALCULATION (continued)	
1. <input type="checkbox"/> The Commissioner is hereby authorized to charge indicated fees and credit any overpayments to: Deposit Account Number: 50-0740 Deposit Account Name: <input checked="" type="checkbox"/> Charge Any Additional Fee Required Under 37 CFR 1.16 and 1.17 <input checked="" type="checkbox"/> Applicant claims small entity status. See 37 CFR 1.27		3. ADDITIONAL FEES	
2. <input checked="" type="checkbox"/> Payment Enclosed <input checked="" type="checkbox"/> Check <input type="checkbox"/> Credit Card <input type="checkbox"/> Money Order <input type="checkbox"/> Other		Large Entity      Small Entity	
FEE CALCULATION		Fee Code    Fee (\$)	
1. BASIC FILING FEE		Fee Code    Fee (\$)	
Large Entity      Small Entity		Fee Description	
Fee Code    Fee (\$)		Fee Paid	
101    740    201    370    Utility filing fee		370.00	
106    330    206    165    Design filing fee			
107    510    207    255    Plant filing fee			
108    740    208    370    Reissue filing fee			
114    160    214    80    Provisional filing fee			
SUBTOTAL (1) (\$)		370.00	
2. EXTRA CLAIM FEES		Fee from below	
Total Claims 16 -20** = 0 x 0.00 = 0.00		Fee Paid	
Independent Claims 12 -3** = 9 x 42.00 = 378.00			
Multiple Dependent			
Large Entity      Small Entity		Fee Description	
Fee Code    Fee (\$)		Fee Code    Fee (\$)	
103    18    203    9    Claims in excess of 20			
102    84    202    42    Independent claims in excess of 3			
104    280    204    140    Multiple dependent claim, if not paid			
109    84    209    42    ** Reissue independent claims over original patent			
110    18    210    9    ** Reissue claims in excess of 20 and over original patent			
SUBTOTAL (2) (\$)		378.00	
**or number previously paid, if greater, For Reissues, see above			
SUBMITTED BY		Complete (if applicable)	
Name (print/type) Paul J. Berman		Registration No. (Attorney/Agent)	36,744
Signature		Telephone	(202) 662-6000
		Date	Monday, November 26, 2001 (11/25/01=Sunday)



Docket No.: 026473.104-US01  
(PATENT)

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Group Art Unit: 2661

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Examiner: Not Yet Assigned

For: UNIFIED DATA PACKET FOR  
ENCAPSULATING DATA PACKETS  
HAVING DIVERSE FORMATS

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**RESPONSE TO NOTICE TO FILE MISSING PARTS OF APPLICATION**

**Box Missing Parts**  
Commissioner for Patents  
Washington, DC 20231

Dear Sir:

In response to the Notice to File Missing Parts of Application – Filing Date Granted  
mailed September 25, 2001, Applicants respectfully submit the following documents:

1. Fee Transmittal;
2. Copy of the Notice to File Missing Parts of Nonprovisional Application;
3. Application Data Sheet;
4. Declaration;
5. Power of Attorney;
6. Statement under 37 CFR 3.73(b) with a copy of the Assignment;
7. Verified Statement (Declaration) Claiming Small Status (37 CFR §§ 1.9(b)  
and 1.27(c))--Small Business Concern;

8. Check No. 288125 for \$813.00 to cover:  
\$370.00 basic filing fee;  
\$378.00 additional claims fee;  
\$65.00 surcharge for late filing of the Declaration; and
9. Return receipt postcard.

The Commissioner is hereby authorized to charge any deficiency in the fees filed, asserted to be filed or which should have been filed herewith (or with any paper hereafter filed in this application by this firm) to our Deposit Account No. 50-0740, under Docket No. 026473.104-US01. A duplicate copy of this paper is enclosed.

Dated: Monday, November 26, 2001  
(November 25, 2001 = Sunday)

Respectfully submitted,

By 

Paul J. Berman

Registration No.: 36,744  
COVINGTON & BURLING  
1201 Pennsylvania Avenue, N.W.  
Washington, DC 20004-2401  
(202) 662-6000



## UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS  
UNITED STATES PATENT AND TRADEMARK OFFICE  
WASHINGTON, D.C. 20231  
www.uspto.gov

APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
09/935,780	08/24/2001	Rong C. Fang	026473.104-US01

26853  
COVINGTON & BURLING  
ATTN: PATENT DOCKETING  
1201 PENNSYLVANIA AVENUE, N.W.  
WASHINGTON, DC 20004-2401



CONFIRMATION NO. 9034

## FORMALITIES LETTER



\*OC000000006790213\*

Date Mailed: 09/25/2001

## NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION


FILED UNDER 37 CFR 1.53(b)

## Filing Date Granted

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The statutory basic filing fee is missing.  
*Applicant must submit \$ 710 to complete the basic filing fee for a non-small entity. If appropriate, applicant may make a written assertion of entitlement to small entity status and pay the small entity filing fee (37 CFR 1.27).*
- Total additional claim fee(s) for this application is \$720.
  - \$720 for 9 independent claims over 3.
- The oath or declaration is missing.  
*A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.*
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(l) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.
- The balance due by applicant is \$ 1560.

*A copy of this notice **MUST** be returned with the reply.*

  
Customer Service Center

Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE

11/27/2001 SFELEKE1 00000049 09935780  
01 FC:201 370.00  
02 FC:202 378.00  
03 FC:205 65.00